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Authors

Butler, Ethan E
Mueller, Nathaniel D
Huybers, Peter

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Peculiarly pleasant weather for US maize

Ethan E. Butler^{a,1}, Nathaniel D. Mueller^b, and Peter Huybers^c

^aDepartment of Forest Resources, University of Minnesota, St. Paul, MN 55108; ^bDepartment of Earth System Science, University of California, Irvine, CA 92697; and ^cDepartment of Earth and Planetary Sciences, Harvard University, Cambridge, MA 02138

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Continuation of historical trends in crop yield are critical to meeting the demands of a growing and more affluent world population. Climate change may compromise our ability to meet these demands, but estimates vary widely, highlighting the importance of understanding historical interactions between yield and climate trends. The relationship between temperature and yield is nuanced, involving differential yield outcomes to warm (9–29 °C) and hot (> 29 °C) temperatures and differing sensitivity across growth phases. Here, we use a crop model that resolves temperature responses according to magnitude and growth phase to show that US maize has benefited from weather shifts since 1981. Improvements are related to lengthening of the growing season and cooling of the hottest temperatures. Furthermore, current farmer cropping schedules are more beneficial in the climate of the last decade than they would have been in earlier decades, indicating statistically significant adaptation to a changing climate of 13 kg·ha^{−1}·decade^{−1}. All together, the better weather experienced by US maize accounts for 28% of the yield trends since 1981. Sustaining positive trends in yield depends on whether improvements in agricultural climate continue and the degree to which farmers adapt to future climates.

agriculture | maize | climate | trends | adaptation

Increased agricultural production over the 20th century is a celebrated achievement of modern science (1). Continuation of these trends is essential to meeting future food and nutritional demands (2, 3), although our ability to do so may be compromised by climate change (4–6). To better understand how climate change will interact with future trends in crop yield, it is important to establish both how climate influenced historical crop yields and how farmers have responded to these changes. To explore these issues, we focus on maize, an important food, feed, and fuel crop in the US Midwest that is both highly productive and strongly influenced by temperature (7, 8).

Previous studies of US maize found that warming suppressed yield trends in Wisconsin (9) and that short-term cooling increased yield trends across the country (10, 11). These earlier studies did not, however, distinguish between moderate temperatures that are beneficial and hot temperatures that are damaging (7, 12), instead using growing-season temperature averages as explanatory variables. This distinction is especially relevant for the US Corn Belt because daily minimum temperatures have risen nearly ubiquitously (13, 14), whereas the hottest growing-season temperatures have cooled by ~1–2 °C over the last century (13, 15).

Recent work indicates that increasing yield trends are linked to earlier planting and longer maturing varieties (16–19). However, studies have found no evidence of US agricultural adaptation to historical changes in climate (7, 20). The combination of warming and absence of adaptation leads to alarming scenarios regarding climate-induced reductions in yield (7). However, the presumption of no adaptation seems at odds with the ingenuity of farmers, a characterization that is supported by evidence of regional adaptation to climate (8, 21) and patterns of insurance coverage that indicate careful apportionment of weather-related risks (22).

Yield Trends from Changes in Climate and Crop Timing

Here, we use a recently developed statistical growth model (21) to analyze how changes in temperature distributions and crop phenology influence maize yield. Yield is modeled according to accumulated growing degree days (GDDs) and killing degree days (KDDs), the latter of which measure exposure to damagingly-high temperatures (8, 20, 23). To account for the fact that temperature sensitivity varies greatly over the course of crop development (24, 25), yield sensitivity to GDDs and KDDs varies across vegetative, early-, and late-grain-filling growth phases (Fig. 1 and *SI Appendix*, Fig. S1). The model accounts for 72% of the interannual variance in maize yield in the median county (*SI Appendix*, Fig. S2).

It is useful to distinguish between the influence of climate trends and timing trends associated with planting and crop development. We first isolate influences associated with climate trends by fixing planting and growth-phase dates to their average values between 1981 and 2017. Averaging across the Midwest, GDDs increase during every phase with a total increase of 14 °C days per decade (*SI Appendix*, Fig. S3). By contrast, KDDs decreased during every growth phase, for a net change of −10 °C days per decade (*SI Appendix*, Fig. S4). These remarkable improvements in weather combine to increase yields by 0.2 tonnes/ha per decade (95% CI 0–0.5; Figs. 2*A* and *B* and 3).

Increasing GDDs is consistent with general warming driven by increasing greenhouse gases, whereas suppression of the high-temperature extremes that produce KDDs appears to be a fortuitous by-product of more productive row-crop agriculture and corresponding increases in evapotranspiration (15, 26). Strong associations between increasing summer crop productivity and cooler extreme temperatures are found in the Midwest (15) as well as other major cropping regions (27–29). Increased irrigation also cools surface air temperature (30, 31), but we

Significance

Over the course of the 20th century, US maize yields have improved by more than a factor of five. Whereas this trend is often attributed exclusively to technological improvements, here, we also identify contributions from improved temperatures during the growing season. More than one-quarter of the increase in crop yield since 1981 is estimated to result from trends toward overall warmer conditions, but with cooling of the hottest growing-season temperatures, and from adjustments in crop timing toward earlier planting and longer maturation varieties.

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The authors declare no conflict of interest.

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Data deposition: Code to download and organize the data as well as perform analyses and produce the figures are available from https://github.com/eebutler/us_maize_trends.

¹To whom correspondence should be addressed. Email: eebutler@umn.edu.

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focus on rainfed counties because only ~20% of counties in the Midwest have at least 10% of their harvested acreage equipped for irrigation.

The effects of changes in the timing of the growing season is explored by specifying a fixed seasonal climatology. Timing is controlled by planting date and the temperature-modulated time needed by a cultivar to develop, also referred to as the maturity rating (32). Planting dates have shifted by almost 3 d earlier per decade. This shift has been attributed to hardier hybrid stocks, improved planting equipment, and chemical seed coatings (16, 33, 34), but also coincides with early-season warming across most of the Midwest (*SI Appendix, Fig. S3*). Earlier planting has been accompanied by increases in maturity rating such that harvest dates have remained relatively constant, with 90% of the additional duration of the growing season accounted for by a longer grain-filling phase (*SI Appendix, Fig. S7*). Prior work has also documented the yield benefits of earlier planting (16, 17) and longer season varieties (18, 19), although without differentiating the influence of the distinct trends in moderate and hot temperatures.

Trends toward earlier planting change GDDs during the vegetative phase by -16°C days per decade, but this decrease is more than counterbalanced by an increase of 26°C days per decade during grain filling on account of this stage lengthening and shifting into a warmer part of the seasonal cycle. This repartitioning of GDDs from the vegetative to grain-filling phases is clearly beneficial on the whole (Fig. 2C) because yield is >10 times more sensitive to GDDs during grain filling (*SI Appendix, Table S1*). The longer growing season in northern counties only mildly increases exposure to damaging temperatures because KDDs are uncommon (Fig. 2D and *SI Appendix, Fig. S4*). In more southern counties, KDDs accrue more regularly, and early grain filling incurs the greatest additional exposure on account of both lengthening and shifting into a hotter part of the seasonal cycle (*SI Appendix, Figs. S5 and S7*).

Weather-related increases in yield are unevenly distributed across the Midwest with a northwest gradient toward increasing yields (Fig. 2E and F). States that benefit the most experience greater GDDs, particularly during the critical late grain-filling stage, while also enjoying declining KDDs. Kentucky, by contrast, has experienced a decline in the duration of late grain filling by nearly 2.5 d per decade, accounting for a reduction in GDDs and a drag on its yield trend of -0.2 tonnes/ha per decade (Fig.

2C). On average across the Midwest, climate and timing trends together account for a yield trend of 0.36 tonnes/ha per decade, or 28% of the total 1.28 tonnes/ha per decade trend across the Midwest since 1981 (Fig. 3).

Adaptation to Climate Change

To this point, our analysis has treated changes in climate and farmer-controlled adjustments independently, but their union is needed to assess adaptation to climate change. That is, to constitute adaptation to climate change, adjustments should give higher yields under recent climate conditions than gains obtainable under earlier climate conditions (35). We test whether changes in planting schedule constitute adaptation to climate change by comparing expected maize production over 1981–2017 when fixing developmental timing to the 1981–1990 average versus the 2008–2017 average (Fig. 1). The difference in expected yield, δY_t , gives a time series whose mean indicates adaptation to climatology and whose trend indicates adaptation to climate change (Fig. 4).

Adaptation to seasonal climatology gives a δY_t of 0.4 tonnes/ha for the average county. This difference is highly statistically significant ($P < 0.01$, one-sided test), consistent with contemporary longer-maturing cultivars being successful adaptations to the climatological seasonal cycle. The only year in the last decade with notable yield loss from the recent development schedule is 2012, when extreme heat occurred during early grain filling, the most sensitive period of development. Using the 1980s development schedule, the 2012 drought and heatwave would have predated this sensitive period and been less damaging in some counties.

Beyond shifts in the mean, a positive trend in δY_t indicates that changes in the timing of crop development are more beneficial under recent climate and, thus, represent adaptation to changes in climate. A least-squares fit to all counties gives a trend of 13 kg/ha per decade (Fig. 4) that is also highly significant ($P < 0.01$, one-sided), but varies considerably from state to state (*SI Appendix, Fig. S8*). Note that, although climate adaptation is typically considered in the context of mitigating damages (35), in the present context, adaptation serves to accentuate trends toward increased yield. Along similar lines, a process model analysis of maize growth in China (36) also found that a warming trend allowed for longer growing seasons and that selection of appropriate cultivars lead to improved yields, even

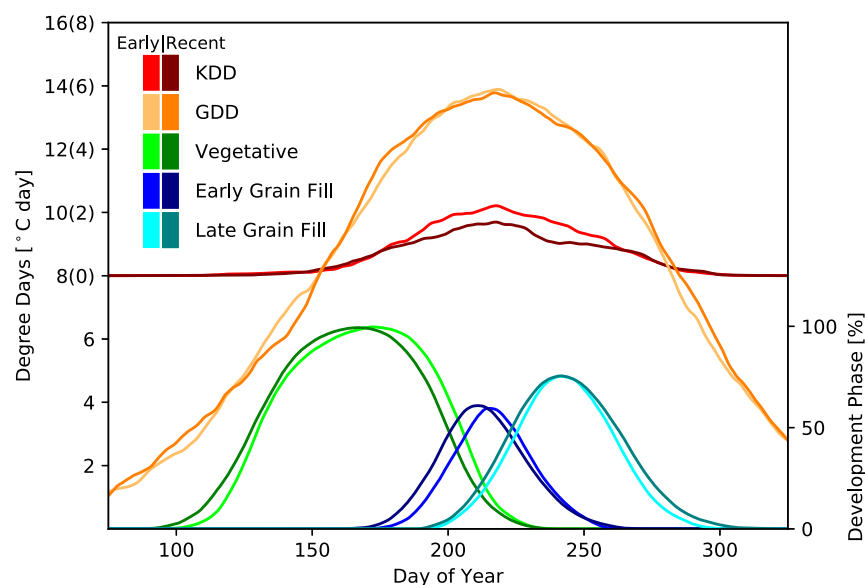


Fig. 1. Regional climate and development. Midwestern US maize develops through vegetative (green), early grain-filling (blue), and late grain-filling (cyan) growth phases beginning as early as April and ending as late as October. Also shown is the climatology of GDDs (orange) and KDDs (red) over the growing season after smoothing with a 30-d window for purposes of clarity. KDDs are shifted upward such that 0 KDDs and 8 GDDs are level. Light shading indicates the earliest decade in the analysis (1981–1990) and dark the latest (2008–2017), where more recent growing seasons begin earlier and end later, have lower KDD exposure, and have higher GDD exposure related to longer maturation.

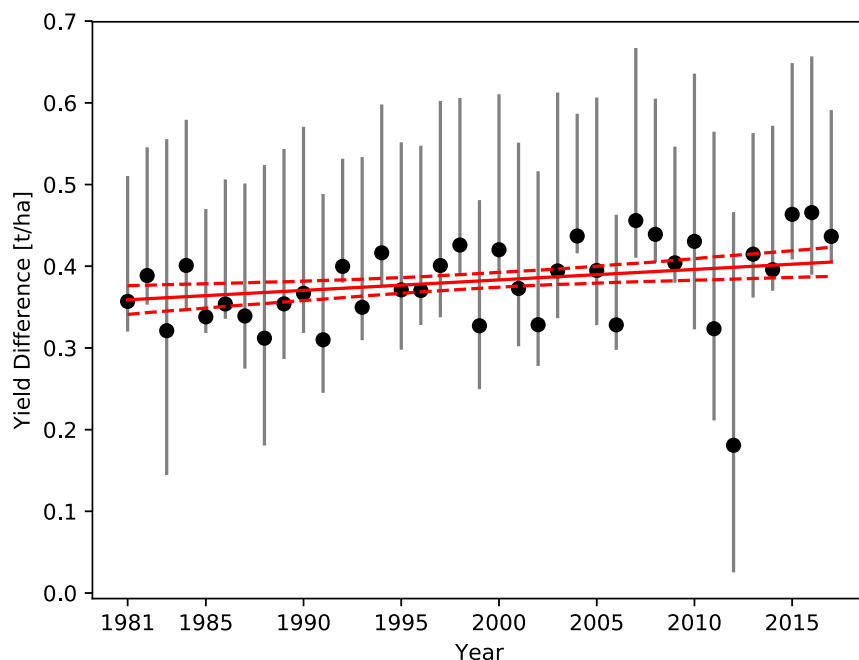


Fig. 4. Evidence of adaptation to climate change. Yield differences are computed for each county by subtracting yields modeled using the average 1981–1990 timing of development phases and subtracting those yields modeled using the 2008–2017 development timing. Although there are variations across counties (gray whiskers show the interquartile spread), averages of the yield differences (black dots) show a clear positive mean and trend. The positive mean primarily reflects the fact that a longer growing season is beneficial. The positive trend of 13 kg/ha per decade (red line, from a least-squares fit) is significant at the 95% confidence level (4–21 kg/ha per decade CI, red dashed lines) and indicates significant farmer adaptation to climate change. The 95% CI is constructed from bootstrapping upon cluster-years. Bootstrapping accounts for outliers in the sample distribution, such as those that result from the 2012 drought, and clustering accounts for spatial autocorrelation (*Materials and Methods*).

USDA/NASS database, and these are linearly interpolated to daily values and linearly extrapolated to 0 and 100% bounds.

GDDs are calculated for each county, c , and development phase, p , according to $GDD_{p,c} = \sum_d P_{p,c,d} GDD_{c,d}$, where the sum is over the days, d , in the growing season. P is the fraction of crop in development phase p . These data are only available at the state level, and values for all counties within a state are assumed identical. $KDD_{p,c}$ is calculated analogously.

Development-phase-weighted GDD and KDD variables are combined into a panel model of yield,

$$Y_{y,c} = \beta_{0,c} + \beta_1 y + \sum_{p=1}^3 \left(\beta_{2,p} \text{GDD}'_{y,c,p} + \beta_{3,p} \text{KDD}'_{y,c,p} \right) + \epsilon_{y,c}. \quad [4]$$

Yield is predicted in metric tons/ha for each year, y , and county, c . Values for β are defined across the entire domain except for $\beta_{0,c}$, which represents mean county-level yield. The β_1 term represents the temporal yield trend that is distinct from those due to trends in GDD and KDD. Yield sensitivities to GDD and KDD vary according to growth phase. Mean values of $GDD_{y,c}$ and $KDD_{y,c}$ are removed, as indicated by primes. See [SI Appendix, Table S1](#) for estimated sensitivities.

The influence of GDD and KDD trends on yields is obtained by multiplying by the respective sensitivities and summing,

$$\overrightarrow{Y^{GK}}_c = \sum_{p=1}^3 \overrightarrow{GDD'}_{p,c\beta_{2,p,c}} + \overrightarrow{KDD'}_{p,c\beta_{3,p,c}}. \quad [5]$$

Time trends in GDDs and KDDs are calculated with an ordinary least-squares fit and are shown in *Top of SI Appendix, Figs. S3 and S4*. Bootstrap uncertainties on trends in GDDs and KDDs are calculated by sampling pairs of GDDs and KDDs to preserve covariance between these fields.

A version of Eq. 4 including terms relating to linear and squared seasonal precipitation values was also explored (*SI Appendix, Table S4*), but this explains only 1% more of yield variance and does not qualitatively change the interpretation of yield trends.

In addition to the yield trends calculated using Eq. 5, two restricted scenarios are considered. First, historically variable development phases are specified but with a fixed seasonal climate of daily GDD and KDD. Second, growth phases are fixed to begin and end on the same day every year according to mean development dates, whereas weather varies according to historical changes.

For purposes of attribution of trends in these restricted scenarios, it is useful to distinguish between farmer-controlled planting decisions and those resulting from exposure to different temperature regimes. The fact that exposure to KDDs variously influences the duration of growth phases was

documented earlier (21), and here we estimate the sensitivity of the duration of growth phase, p , to KDDs by regressing variability reported for a given state across years according to,

$$D_{p,y} = \alpha_{0,p} + \alpha_{1,p} \overline{\text{KDD}}_{p,y} + \epsilon, \quad [6]$$

where $D_{p,y}$ indicates the duration of a growing phase, $\alpha_{0,p}$ is an intercept, and $\alpha_{1,p}$ indicates sensitivity of duration to KDDs for each growth phase. $\overline{\text{KDD}}_{p,y}$ is the average KDDs across counties and days within a given state according to growth phase and year. The anomalous duration attributable to KDDs is then defined as,

$$D'_{p,y} = \alpha_{1,d} \overline{\text{KDD}}_{p,y}. \quad [7]$$

Exposure to KDDs generally leads to shorter growing phases across the Corn Belt and, given overall reductions in KDDs, is associated with an average lengthening of grain filling by 0.4 d/decade, or 15% of the observed trend.

The anomalous KDDs experienced as a result of changes in growing-season length are estimated as,

$$\text{KDD}_{p,y}^* = D'_{p,y} \overline{\text{KDD}}_{p,y}. \quad [8]$$

KDD* are subtracted from the farmer-controlled timing attribution and added to the climate-controlled attribution. The lengthening of the growing season is associated with a small trend of 0.4 KDDs per decade. Anomalies in phase duration are also used to calculate GDD_{β} , using the same relationships found in Eq. 8. Lengthening of grain filling is estimated to contribute 5 GDDs per decade. Yield effects of these anomalous KDDs and GDDs are modest, but are included for purposes of completeness.

There is some concern that such a simple model may have omitted variables driving the relationship between GDD, KDD, and yield. However, there are three lines of evidence indicating that the model is well posed. First, a cross-validation procedure in which 20% of the county years are omitted from the training dataset results in a model R^2 of 0.78 for the predictive set that is comparable to that for the training set, 0.79 (*SI Appendix, Fig. S2*). Second, the relationship between growth duration and temperature is controlled for and would not alter our conclusions regardless (Eq. 6–8). Finally, estimated yield sensitivity to GDDs and KDDs (*SI Appendix, Table S1*) are consistent with physiological expectations, including that sensitivities are low during the vegetative phase and highest to KDDs during early grain filling (21, 24, 25).

Despite overall reliable predictions, our model underestimates yield loss during the 2012 drought (Fig. 3). This underestimate can be understood in that the 2012 drought coincided with the most sensitive phases of crop development, silking and tasseling, whereas our model groups these sensitive phenological periods into a single, longer early grain-filling phase.

Lack of explicitly resolving silking and tasseling may therefore account for underestimation of damage. Further, despite covariance between drought and extreme heat (57), our model does not explicitly resolve crop stress from low soil moisture.

Bootstrap CIs are constructed to assess the uncertainty associated with each of the statistical models by using 1,000 samples that account for contributions from errors in trend estimates, sensitivity parameters, as well as D' and therefore KDD* and GDD* terms. County-years are used as the unit of replication. To be more conservative with respect to regional estimates, we also explore the implication of spatial autocorrelation using a K -means clustering algorithm on longitude, latitude, and mean yield to generate 108 clusters. This number of clusters reflects numbers of agricultural districts that average nine per state (*SI Appendix, Fig. S9*). The 95% CI of the adaptation trend is 13–20 kg/ha per decade when resampling on county-years,

4–21 kg/ha per decade when resampling on spatial clusters and years, and –3 to 32 when resampling on yearly regional averages. We view the final estimate involving regional averages as overly conservative on account of ignoring within-season independence amongst different parts of the Midwest, but include it to illustrate how the associated reduction in spatial degrees of freedom influences the results (*SI Appendix, Table S3*).

All regional trends that aggregate individual county trends reported in the work are computed as a weighted average according to average area planted. Individual country areas are computed as the average planted area across years.

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